IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Braun et al.

Serial No.: 09/687,483

Conf. No.: 7859

Cust. No: 24961

Filed: October 13, 2000

For: METHODS FOR GENERATING

DATABASES AND DATABASES FOR

IDENTIFYING POLYMORPHIC

GENETIC MARKERS

Art Unit: 1631

Examiner: Clow, L.A.

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TRANSMITTAL LETTER

U.S. Patent and Trademark Office 2011 South Clark Place Customer Window Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

Sir:

Transmitted herewith via hand delivery are a Supplemental Information Disclosure Statement, Form PTO-1449 (2 pages), cited references and a check for requisite fee of \$180 for filing in connection with the above-identified application.

The Commissioner is hereby authorized to charge any fees that may be due under 37 C.F.R. §§1.16-1.17 in connection with this application during its entire pendency to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted, HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:

Stéphanie L. Seidman Registration No. 33,779

Dated: May 13, 2003

Attorney Docket No. 24736-2033

Address all correspondence to:

Stephanie L. Seidman

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4350 La Jolla Village Drive, 7th floor

San Diego, CA 92122-1246

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Clow, L.A.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98

U.S. Patent and Trademark Office 2011 South Clark Place **Customer Window** Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

Dear Sir:

Because this Supplemental Information Disclosure Statement is filed after receipt of a First Office Action on the Merits for the above-captioned application, the filing fee of \$180 is enclosed. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure

Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form 05/15/2003 FPATTERS 00000002 09687483

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U.S.S.N. 09/687,483 Braun *et al.* Supplemental Information Disclosure Statement

PTO-1449 (2 pages) and the cited references are provided herewith in connection with the above-captioned application.

The documents listed on the Form PTO-1449 and supplied herewith are in the English language with the exception of document AA. This item, which is in the Japanese language, is provided with an English abstract. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following pending, published and issued U.S. and International Applications that have one or more common inventors and/or are commonly owned.

U.S.S.N.	Filing Date	Publication No. or Issue No.	Publication or Issue Date	Docket No.
09/796,416	02/28/01	6,500,621	12/31/02	2002L
09/879,341	06/11/01	2002-0150903	10/17/02	2002M
10/375,714	02/24/03			2002N
10/277,021	10/17/02			2003B RI
08/934,455	09/19/97	6,111,251	08/29/00	2013B
09/483,629	01/14/00	6,423,966	07/23/02	2013C
09/628,478	07/31/00			2015B
09/604,698	06/26/00			2016A
10/007,557	11/06/01	2003-0003465	01/02/03	2016D
09/307,006	05/07/99	6,558,902	05/06/03	2021B
09/074,936	05/07/98	2001-0055811	12/27/01	2021
09/834,700	04/12/01	2002-0040130	04/04/02	2035
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U.S.S.N. 09/687,483 Braun *et al.* Supplemental Information Disclosure Statement

10/403,902	03/27/03			2048B
10/128,680	04/22/02	2003-0033091	02/13/03	2050B
08/715,582	09/19/96			2055
09/518,864	03/03/00			2057B
09/518,749	03/03/00			2057C
10/412,801	04/11/03			2064
not assigned	05/01/03			2065
60/429,895	11/27/02			P2073
60/453,215	03/07/03			P2074
60/453,208	03/07/03			P2074B
60/453,350	03/07/03			P2074C

Int'l App. No.	Filing Date	Publication No.	Publication Date	Docket No.
PCT/US97/16115	09/19/97	WO 98/12734	03/26/98	2013PC
PCT/US01/21308	07/05/01	WO 02/04489	01/17/02	2035PC
PCT/US02/06728	03/09/01	WO 02072604	01/19/02	2048PC
PCT/US02/12903	04/20/01	WO 02086794	10/13/02	2050PC
not assigned	04/11/03			2064PC
not assigned	05/01/03			2065PC

Additionally, documents A, B, G, I-K, and M-T listed on the Form PTO-1449 and supplied herewith are also publications of U.S. and International applications which are commonly owned and/or have one or more inventors in common.

Although these documents and information are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's

U.S.S.N. 09/687,483 Braun et al. **Supplemental Information Disclosure Statement**

representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

> Respectfully submitted, HELLER EHRMAN WHITE & McAULIFFE LLP

By: Stephanie L. Seidman

Registration No. 33,779

May 13, 2003

Attorney Docket No. 24736-2033

Address all correspondence to:

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FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 24736-2033	SERIAL NO. 09/687,483
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Braun <i>et al.</i>	
STATEMENT	FILING DATE October 13, 2000	GROUP 1631

U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS													
EXAMINER INITIAL			D	ÓCNN	IENT N	NUMBI	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	Α	0	0	0_	9	3	9	4	01/24/02	Köster <i>et al.</i>	422	65	04/02/99
	В	0	0	4	2	1	1	2	04/11/02	Köster <i>et al.</i>	435	174	10/26/98
	С	5	6	3	5	7	1	3	06/03/97	Labowsky	250	282	01/16/95
	D	5	6	8	6	6	5	6	11/11/97	Amirav <i>et al.</i>	73	23.41	02/27/96
	E	5	8	5	3	9	7	9	12/29/98	Green <i>et al.</i>	435	5	06/30/95
	F	5	9	7	5	4	9	2	11/02/99	Brenes	251	175	07/14/97
	G	6	1	1	1	2	5	1	08/29/00	Hillenkamp	250	288	09/19/97
	Н	6	1	8	8	0	6	4	02/13/01	Köster	250	282	01/28/99
	1	6	2	0	7	3	7	0	03/27/01	Little et al.	435	6	09/02/97
	J	6	2	2	5	4	5	0	05/01/01	Köster	536	22.1	06/07/95
	К	6	2	6	8	1	3	1	07/31/01	Kang <i>et al.</i>	435	6	12/15/97
	L	6	2	7	0	8	3	5	08/07/01	Hunt et al.	427	79	10/07/99
·	М	6	2	7	7	5	7	3	08/21/01	Köster <i>et al.</i>	435	6	04/06/99
,	N	6	3	0	0	0	7	6	10/09/01	Köster	435	6	01/31/00
	0	6	3	2	2	9	7	0	11/27/01	Little <i>et al.</i>	435	6	09/02/98
	Р	6	4	2	3	9	6	6	07/23/02	Hillenkamp <i>et al.</i>	250	288	01/14/00
/	Q	6	4	2	8	9	5	5	08/06/02	Köster <i>et al.</i>	435	6	11/06/96
	R	6	4	3	6	6	3	5	08/20/02	Fu <i>et al.</i>	435	6	03/12/96

FOREIGN PATENT DOCUMENTS

		D	OCUM	IENT N	NUMBI	ΞR		DATE	COUNTRY	CLASS	SUB CLASS	Trans Yes	slation No
S	9	6	3	2	5	0	4	10/17/96	PCT				
Т	9	8	1	2	7	3	4	03/26/98	PCT				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-144	19 (Modified)	ATTY. DOCKET NO. 24736-2033	SERIAL NO. 09/687,483					
	TENTS AND PUBLICATIONS FOR	APPLICANT Braun et al.	03/00/,403					
APPLICANT	'S INFORMATION DISCLOSURE STATEMENT	FILING DATE October 13, 2000	GROUP 1631					
U	"Red Tape: It's in You to Give: L weeded out 200,000 would-be of tape." Ottawa Citizen Saturday F	lonors. Doug Fischer looks	at the reasons behind the red					
V	Cai <i>et al.</i> , "Different Discrete Wa J. Chem. Inf. Comput. Sci. <u>38:</u> 1		Denoising Analytical Data,"					
W	Cavalli-Sforza, L.I., "The DNA re 14(2): 60-65 (1998)	revolution in population genetics," Trends in Genetics						
X		of polymorphic blood systems in the Aymara indigenous and Chile," <i>Anthropol. Anz.</i> 53(4): 289-315 (1995)						
Y	Dodgson <i>et al.</i> , "DNA Marker Te Science <u>76:</u> 1108-1114 (1997)	Technology: A Revolution in Animal Genetics," Poultry						
Z	Hey, J., "Population genetics and 14(8): 303-305 (1998) (with rep	= : : : : : : : : : : : : : : : : : : :	origins haplotypes are key," <i>Trends in Genetics</i> Cavalli-Sforza)					
AA	Ikemoto, S., "Searching for Gene Human Genetics," <i>Nippon Hoigal</i>							
AB	Nagamura <i>et al.</i> , "Rice molecular <i>Molecular Biology</i> 35: 79-87 (19		and its applications," <i>Plant</i>					
AC	Nelson et al., "The Accuracy of (Algorithm," Journal of Magnetic							
AC	Paterson, A.H., "Molecular Disse Genome Research 5(4): 321-33		: Progress and Prospects,"					
AE	Pena et al., "DNA diagnosis of he (1995)	uman genetic individuality,"	J. Mol. Med. <u>73:</u> 555-564					
AF	Polettini <i>et al.</i> , "Fully-automated metabolites in whole blood, urine spectrometry," <i>Journal of Chrom</i>	e, and plasma by gas chrom	atography - full scan mass					
AG	Thompson, J.N., "Fitting robots Information Management 31: 17		ory Automation and					
AH	Yasuda <i>et al.</i> , "Genetic Polymorp Forensic Individualization," <i>Japan</i>							

EXAMINER

FORM PTO-1449 (Modified)

ATTY. DOCKET NO. 24736-2033

SERIAL NO. 09/687,483

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT APPLICANT Braun *et al.*

FILING DATE October 13, 2000 GROUP 1631

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EXAMINER INITIAL			D	OCUM	IENT N	NUMBI	ĒR		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	А	0	0	0	9	3	9	4	01/24/02	Köster <i>et al.</i>	422	65	04/02/99
	В	0	0	4	2	1	1	2	04/11/02	Köster <i>et al.</i>	435	174	10/26/98
	С	5	6	3	5	7	1	3	06/03/97	Labowsky	250	282	01/16/95
	D	5	6	8	6	6	5	6	11/11/97	Amirav <i>et al.</i>	73	23.41	02/27/96
	Е	5	8	5	3	9	7	9	12/29/98	Green <i>et al.</i>	435	5	06/30/95
	F	5	9	7	5	4	9	2	11/02/99	Brenes	251	175	07/14/97
	G	6	1	1	1	2	5	1	08/29/00	Hillenkamp	250	288	09/19/97
	Н	6	1	8	8	0	6	4	02/13/01	Köster	250	282	01/28/99
	ı	6	2	0	7	3	7	0	03/27/01	Little <i>et al.</i>	435	6	09/02/97
	J	6	2	2	5.	4	5	0	05/01/01	Köster	536	22.1	06/07/95
	К	6	2	6	8	1	3	1	07/31/01	Kang <i>et al.</i>	435	6	12/15/97
	Ĺ	6	2	7	0	8	3	5	08/07/01	Hunt <i>et al.</i>	427	79	10/07/99
	М	6	2	7	7	5	7	3	08/21/01	Köster <i>et al.</i>	435	6	04/06/99
	N	6	3	0	0	0	7	6	10/09/01	Köster	435	6	01/31/00
	0	6	3	2	2	9	7	0	11/27/01	Little <i>et al.</i>	435	6	09/02/98
	Р	6	4	2	3	9	6	6	07/23/02	Hillenkamp et al.	250	288	01/14/00
	a	6	4	2	8	9	5	5	08/06/02	Köster <i>et al.</i>	435	6	11/06/96
	R	6	4	3	6	6	3	5	08/20/02	Fu <i>et al.</i>	435	6	03/12/96

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		D	ocun	IENT I	NUMB	ER		DATE	COUNTRY	CLASS	SUB CLASS	Trans Yes	slation No	
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	NTS AND PUBLICATIONS FOR INFORMATION DISCLOSURE	APPLICANT Braun <i>et al.</i>					
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AC	Nelson <i>et al.</i> , "The Accuracy of (Algorithm," <i>Journal of Magnetic</i>						
AD	Paterson, A.H., "Molecular Disse Genome Research <u>5(4):</u> 321-333		Progress and Prospects,"				
AE	Pena et al., "DNA diagnosis of hu (1995)	uman genetic individuality,"	<i>J. Mol. Med.</i> <u>73:</u> 555-564				
AF	Polettini <i>et al.</i> , "Fully-automated metabolites in whole blood, urine spectrometry," <i>Journal of Chrom</i>	, and plasma by gas chroma	atography - full scan mass				
AG	Thompson, J.N., "Fitting robots Information Management 31: 17:		ry Automation and				
АН	Yasuda <i>et al.</i> , "Genetic Polymorp Forensic Individualization," <i>Japar</i>	phisms Detectable in Human Dese Journal of Legal Medica	Urine: Their Application to ine <u>51:</u> 407-416 (1997)				

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FORM PTO-1449 (Modified)

ATTY. DOCKET NO. 24736-2033

SERIAL NO. 09/687,483

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT APPLICANT Braun et al.

FILING DATE October 13, 2000 GROUP 1631

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EXAMINER INITIAL			D	OCUM	ENT N	IUMBI	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
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· · · · · · · · · · · · · · · · · · ·	a	6	4	2	8	9	5	5	08/06/02	Köster <i>et al.</i>	435	6	11/06/96
	R	6	4	3	6	6	3	5	08/20/02	Fu <i>et al.</i>	435	6	03/12/96

FOREIGN PATENT DOCUMENTS

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S	9	6	3	2	5	0	4	10/17/96	РСТ				
Т	9	8	1	2	7	3	4	03/26/98	PCT				

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	•	GROUP	
		1631	
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Hey, J., "Population genetics and human origins haplotypes are key," <i>Trends in Genetics</i> 14(8): 303-305 (1998) (with reply by L. Cavalli-Sforza)			
Ikemoto, S., "Searching for Genetic Markers In the Fields of Forensic Medicine and Human Genetics," <i>Nippon Hoigaku Zasshi</i> 49(6): 419-431 (1995)			
	olecular genetic map using RFLPs and its applications," <i>Plant</i> -87 (1997)		
Nelson et al., "The Accuracy of Quantification from 1D NMR Spectra Using the PIQABLE Algorithm," Journal of Magnetic Resonance 84: 95-109 (1989)			
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Pena <i>et al.</i> , "DNA diagnosis of h (1995)	of human genetic individuality," <i>J. Mol. Med.</i> 73: 555-564		
metabolites in whole blood, uring	e, and plasma by gas chromatography - full scan mass		
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